

The impact and role of *EGFR* gene mutation on non-small cell lung cancer

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Published online: 9 November 2006
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Abstract Mutations in the epidermal growth factor receptor (*EGFR*) gene have been reported in non-small cell lung cancer (NSCLC), especially in patients with adenocarcinomas, women, never smokers, and East Asians. These factors were recognized as predictors of gefitinib response in the Iressa Dose Evaluation in Advanced Lung Cancer study. Despite some contradictory arguments, somatic mutations in *EGFR* have been demonstrated to be a useful biomarker for predicting the clinical outcome of treatment with gefitinib or erlotinib, indicating the necessity of validated assays for clinical applications. Mutations in *EGFR* and *KRAS* are established carcinogenic mechanisms responsible for NSCLC. Recent studies have demonstrated that epigenetic alteration is another critical mechanism in lung carcinogenesis. Notably, *EGFR* and *KRAS* mutations are mutually exclusive, suggesting the presence of two independent pathways for the development of adenocarcinoma; however, the relationship between mutation and epigenetic alteration is not known. To address these issues, we examined the *EGFR* mutation status in Japanese patients with NSCLC by direct sequencing exons 18–21 of *EGFR*;

the results were then correlated with clinicopathological factors and previously investigated epigenetic alterations. In this article, we mainly focus on: (1) the relationship between *EGFR* mutations and clinicopathological factors, (2) the relationship between *EGFR* mutations and response to gefitinib, (3) the development of a sensitive assay for detecting the major *EGFR* mutations, and (4) differences in the evolution of epigenetic alterations between *EGFR*- or *KRAS*-mediated tumorigenesis. The present results provide important data for translational research and will further our understanding of the molecular pathogenesis of NSCLC, leading to the establishment of molecular targeting strategies for the treatment of NSCLC.

Keywords *EGFR* · *KRAS* · NSCLC · Methylation · Gefitinib · Erlotinib

Introduction

The discovery of epidermal growth factor receptor (*EGFR*) gene mutations has opened the way to a new era in our understanding of molecular mechanisms, as well as the possibility of establishing molecular targeted therapies for non-small cell lung cancer (NSCLC). *EGFR* is a receptor tyrosine kinase that is highly expressed in epithelial tumors, including lung cancers [32]. After ligand binding, specific tyrosine residues in the intracellular domain are autophosphorylated, resulting in the initiation of an intracellular signaling cascade, and leading to a multitude of effects including cell proliferation, cell differentiation, angiogenesis, metastasis, and antiapoptosis—all of which are

This work was presented at the 21st Bristol–Myers Squibb Nagoya International Cancer Treatment Symposium, “Lung Cancer: Novel Therapy against Malfunctioning Molecules”, 24–25 February 2006, Nagoya, Japan.

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essential features of malignant tumors [11]. *EGFR* mutations are preferentially present in some subsets of patients with NSCLC, including women, never smokers, and patients with adenocarcinomas. These characteristics were identified as predictors of gefitinib response in the Iressa Survival Evaluation in Lung Cancer trial [13, 21, 24, 27, 28, 35, 39]. The introduction of vectors carrying *EGFR* mutations to cell lines also demonstrated an increased sensitivity to gefitinib, strongly supporting the view that the presence of *EGFR* mutations is a predictor of tumor responsiveness to gefitinib [24, 25, 27].

From a molecular perspective, *EGFR* and *KRAS* mutations have a mutually exclusive relationship with regard to carcinogenesis [21]. This fact implies the presence of two independent mechanisms leading to lung cancer [14, 21], particularly in the development of adenocarcinomas, since these two mutations are frequently observed in this histology [4, 35]. According to the “multi-step mechanism” hypothesis for carcinogenesis, the accumulation of molecular alterations is necessary to induce human cancers [46]. Alternatively, epigenetic alterations, including aberrant methylation, have also been established as an important mechanism in carcinogenesis [2, 47]. In colorectal carcinoma, a specific interaction of genetic and epigenetic alterations has been reported, suggesting that the activation of oncogenes by mutation and the inactivation of tumor suppressor genes (TSGs) by aberrant methylation are related to the mechanism underlying the generation of molecular diversity in cancer [44]. These facts suggest that study of both genetic and epigenetic alterations may be important for furthering our understanding of the molecular pathogenesis of lung cancer.

In this article, we review our previous studies and present some new related findings, focusing on the relationships between *EGFR* mutations, clinicopathological factors, gefitinib response, and epigenetic alterations.

Results and discussion

EGFR mutations of exon 19 deletions and L858R and clinicopathological features

We previously reported mutations in *EGFR* exons 18–21 in 120 cases of surgically resected NSCLC specimens and found that the majority of *EGFR* mutations consist of exon 19 deletions and exon 21 point-mutation (L858R) [39]. To clarify the effect of *EGFR* mutation on survival in a large-scale study, we additionally examined *EGFR* mutations in exons 19 and 21 in 311

resected NSCLC specimens. Possible correlations between the *EGFR* mutations and clinicopathological factors (age, sex, smoking status, histology, disease stage, and survival) were then examined in a total of 431 NSCLC samples. In a univariate analysis, *EGFR* mutations were more frequently identified in women, never smokers, and patients with an adenocarcinoma histology than in men, smokers, and patients with a non-adenocarcinoma histology, respectively. In a multivariate analysis, never smoking and adenocarcinoma histology were significantly associated with the presence of *EGFR* mutations. These findings are consistent with those of other reports, indicating that our cohort did not contain a selection bias.

Because the majority of the *EGFR* mutations were found in adenocarcinomas, subsequent analyses were limited to adenocarcinoma specimens. No significant association between the presence of *EGFR* mutations and disease stage was observed. Furthermore, a marginal significant difference in survival time was observed between patients with *EGFR* mutations and those without. Although the prognostic value of *EGFR* mutations remains controversial [21, 34, 35], our results indicate that the presence of *EGFR* mutations themselves appeared to be a weak predictor of prolonged survival in patients with lung adenocarcinoma when gefitinib was not used ($P = 0.088$) (Fig. 1).

Minor *EGFR* mutations and clinicopathological factors

In our previous study examining 673 cases of NSCLC, we reported that the frequency of deletions in exon 19 and L858R in exon 21 of *EGFR* were significantly

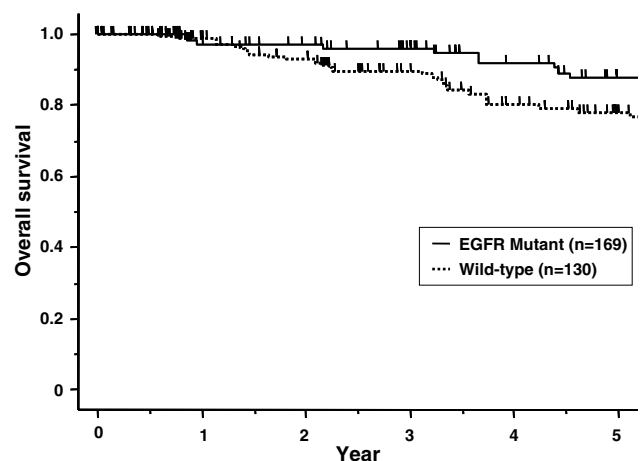


Fig. 1 Kaplan–Meier plot of survival in surgically resected lung adenocarcinoma (pathological disease stages I and II) according to *EGFR* status. Patients with *EGFR* mutation tended to show prolonged overall survival time compared to those with wild-type *EGFR* with marginal significance. $P = 0.088$

higher in women and never smokers, but that insertion mutations occurred at similar frequencies regardless of sex and smoking status [36]. Greulich et al. reported that sensitivity to gefitinib differed according to mutational types, with exon 20 insertions leading to a strong resistance to gefitinib [15]. Exon 20 insertions, exon 18 mutations, and all others mutations (except for exon 19 deletions and L858R) are regarded as minor mutations, accounting for approximately 10% of all *EGFR* mutations [35]. Despite the fact that the low incidence of these mutations makes study difficult, the effects of these minor mutations on responsiveness to EGFR-tyrosine kinase inhibitors (TKIs) should be investigated to establish individualized therapy for patients with NSCLC.

EGFR mutations and tumor responsiveness to gefitinib

EGFR mutations have been associated with tumor sensitivity to gefitinib, a finding that has aroused much interest. While numerous studies have confirmed this finding, other contentious results have also been reported; Cappuzzo et al. showed that the *EGFR* copy number status, rather than the presence of *EGFR* mutations, was significantly associated with the clinical outcome of gefitinib therapy [7]. Tsao et al. reported that neither the presence of *EGFR* mutations nor the *EGFR* copy number was related to clinical outcome in patients treated with erlotinib [45]. In our study of 21 cases that were treated with gefitinib, drug responsiveness was significantly higher among patients with tumors carrying *EGFR* mutations [39]. While previous studies from East Asia showed that the *EGFR* mutation was a predictive factor for a favorable clinical outcome, studies from North America and Europe reported controversial results on the effect of *EGFR* mutations and amplification on the clinical outcome in patients treated with EGFR-TKIs [3, 7, 10, 16, 25, 31, 37–39, 45], implying that ethnic or geographical differences in the effects of EGFR-TKIs may exist. Of note, inter-ethnic differences in polymorphisms in the *EGFR* promoter (-216G/T and -191C/A) and intron 1 (the length of a CA dinucleotide repeat) that influence *EGFR* expression level have been reported, possibly explaining not only the benefits, but also the adverse effects of EGFR-TKIs [5, 6, 22, 23]. Thus, the genotyping of these polymorphisms may be important in understanding inter-ethnic differences in *EGFR* somatic alterations, including mutations and copy number, and gefitinib sensitivity in patients with NSCLC.

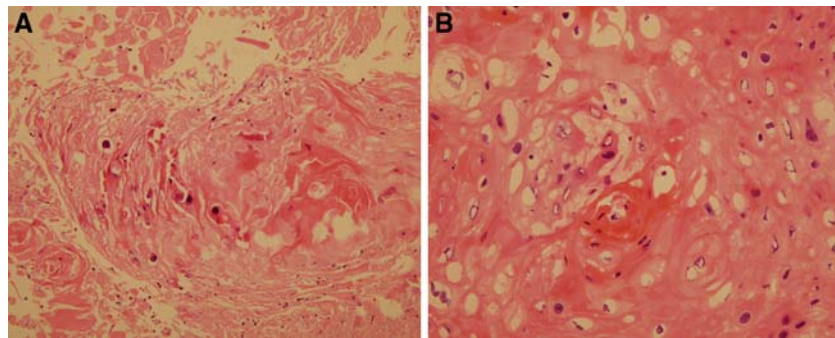
Another important issue concerning *EGFR* mutations is the T790M mutation that is sometimes acquired after gefitinib treatment and that causes sensitive

tumors to become resistant to gefitinib [20, 29]. We and others have reported on patients with NSCLC carrying inherent T790M and L858R mutations who did not receive any pre-treatment and who were resistant to gefitinib [37, 41]. As mentioned above, a recent finding has also demonstrated that insertions in exon 20 cause the resistance of tumors to EGFR-TKIs [15]. These findings also suggest that *EGFR* mutations may be responsible for sensitivity to EGFR-TKIs.

Highly sensitive assay for the detection of major *EGFR* mutation

We considered that the benefit of gefitinib was maximized when patients with an exon 19 deletion or a L858R mutation were selected for treatment. In clinical practice, *EGFR* mutations have to be detected in various clinical samples including not only resected specimens, but also biopsies and pleural effusions that are likely to contain plenty of non-malignant cells. For that purpose, a highly sensitive assay for detecting mutations is necessary. Several sensitive assays to detect *EGFR* mutations have been reported [17, 26]. We have developed a mutant-enriched PCR assay for the detection of exon 19 deletion and L858R [1]. Mutant-enriched PCR is a two-step PCR with intermittent digestion to eliminate wild-type alleles selectively, thus enriching the mutated alleles [19]. The sensitivity of this assay is such that, using serially diluted plasmid DNAs, it successfully detected one mutant in the presence of 2×10^3 wild-type alleles [1]. The advantage of the enriched assay was demonstrated in detecting mutations in surgically resected specimens, CT-guided and transbronchial lung biopsies (TBLB), and pleural fluid. Figure 2a shows the microscopic view of a surgically resected specimen from a patient who had received chemo-radiation therapy in the neo-adjuvant setting because of N2 disease. While direct DNA sequencing revealed no *EGFR* mutation in the resected specimen, the enriched PCR assay demonstrated the presence of L858R. The pathological findings of the resected specimen revealed few variable cancer cells with non-malignant cells, which may explain why the direct sequencing did not detect the mutation, but the mutant-enriched PCR was able to detect it from a small fraction of tumor cells. The pathological examination of TBLB and a mediastinal lymph node specimen (Fig. 2b) of this case revealed a central type of squamous cell carcinoma in a female never smoker. Direct sequencing of the lymph node specimen also revealed the L858R alteration. While *EGFR* mutations are frequently found in adenocarcinomas, we previously reported the presence of *EGFR*

Fig. 2 Microscopic findings. **a** Resected specimen after neo-adjuvant chemo-radiotherapy. There were few variable cells in the specimen. **b** Metastatic mediastinal lymph node of the same case biopsied by mediastenoscopy before chemo-radiotherapy. The pathological finding revealed squamous cell carcinoma cells



mutations in both adenocarcinomatous and squamous cell carcinomatous components of adenosquamous carcinomas [43]. These facts suggested that *EGFR* mutation is not a crucial factor for the adenocarcinomatous phenotype of tumors.

Relationship between somatic genetic and epigenetic alterations

The aberrant methylation of TSGs is an important mechanism in human carcinogenesis [2]. We previously reported that the frequent methylation of several genes involved in lung cancer as well as the methylation of *p16^{INK4a}*, *RASSF1A*, and *APC* were closely related to smoking status, similar to the *KRAS* mutation in adenocarcinomas of the lung [40, 47]; however, the relationship between genetic and epigenetic alterations in lung tumorigenesis remains unclear. To clarify the interaction of genetic and epigenetic alterations, we examined the mutations in *EGFR* exons 18–21 and *KRAS* exon 2 as well as the methylation status of *p16^{INK4a}*, *RASSF1A*, *APC*, *RARβ*, and *CDH13* genes using a methylation-specific PCR assay in 164 NSCLC samples and searched for possible correlations [42]. Our findings showed that: (1) the probability of having *EGFR* mutations was significantly lower in *p16^{INK4a}* and *CDH13* methylated cases than in those without methylation, (2) the methylation index (MI; the number of methylated genes in a case) was significantly lower in *EGFR* mutant cases than in wild-type cases, (3) the probability of having *KRAS* mutations was significantly higher in *p16^{INK4a}* methylated cases than in unmethylated cases, and (4) the MI was marginally higher in *KRAS* mutant cases than in wild-type cases. Of particular interest, *EGFR* mutations and *p16^{INK4a}* methylation were mutually exclusive among the 164 NSCLC samples that were examined, except for two cases that exhibited both *p16^{INK4a}* methylation and *EGFR* mutations (Fig. 3). These results suggest differences in the involvement of epigenetic alterations in *EGFR*- and *KRAS*-mediated tumorigenesis and suggest the specific interaction of genetic and epigenetic

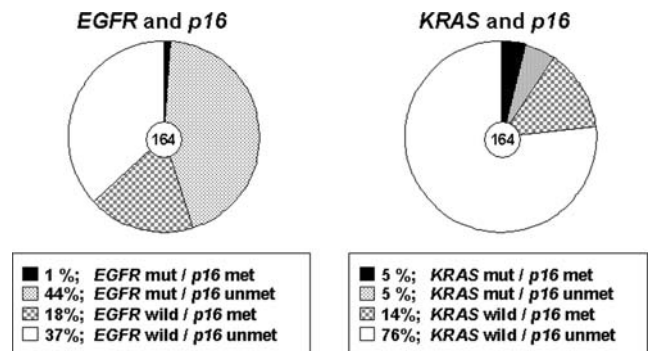


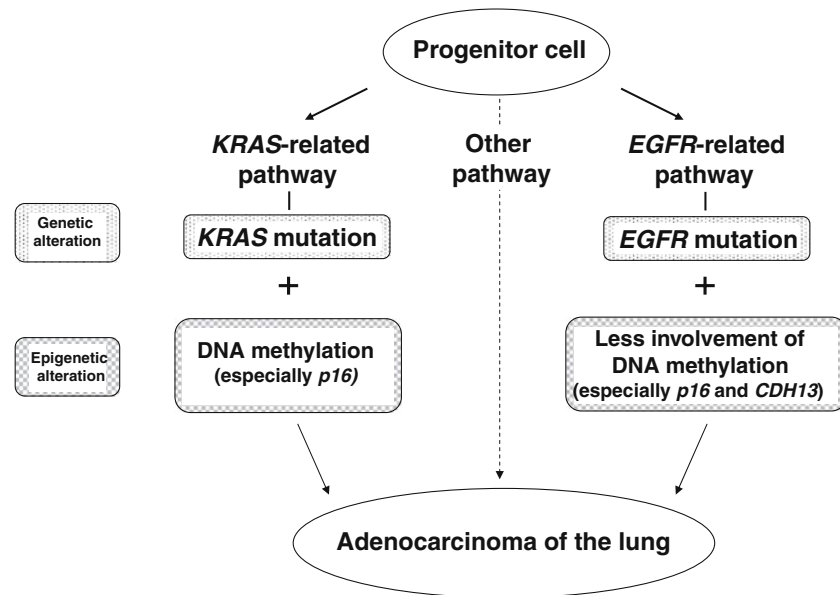
Fig. 3 Pie charts to show the relationship between the mutation of *EGFR* and *KRAS* and *p16^{INK4a}* methylation. The *EGFR* mutation and *p16^{INK4a}* methylation were mutually exclusive except for two cases (1%) that exhibited both *EGFR* mutation and *p16^{INK4a}* methylation

changes in lung carcinogenesis (Fig. 4). Additional studies involving other genes are necessary to further our understanding of genetic and epigenetic interactions during lung carcinogenesis.

Conclusions and future perspectives

Considerable research on the role of *EGFR* in lung cancer has been conducted since the first reports by Lynch [24] and Paez [27] were published, and some points of consensus have been reached: (1) *EGFR* mutations are exclusively present in the tyrosine kinase domain encoded by exons 18–21, mainly in exons 19 and 21, (2) *EGFR* mutations cause the constitutive activation of *EGFR*, resulting in the up-regulation of oncogenic functions, (3) the frequency of *EGFR* mutations differs from one population to another, and (4) *EGFR* and *KRAS* mutations exhibit a mutually exclusive relationship. Our previous studies confirmed and contributed to these points of consensus. On the other hand, controversial results have also been reported, especially regarding the relationship between *EGFR* status and the tumor response to the *EGFR*-TKIs, gefitinib and erlotinib. In addition, the impact of *HER2* and *HER3* amplification on gefitinib-treated NSCLC has also been

Fig. 4 The difference in the involvement of epigenetic alterations in the *EGFR*-related and *KRAS*-related pathways for lung adenocarcinoma



examined. A link between *HER2* amplification and a better clinical outcome in gefitinib-treated NSCLC has suggested that TKI sensitivity is not dependent solely on the presence of *EGFR*, but is also largely influenced by other family members [8, 9]. More recently, Fujimoto et al. reported that *EGFR* mutations are not sufficient to modulate the sensitivity of lung adenocarcinoma to gefitinib, but that the high expression of *EGFR* dimeric partners, especially ErbB3 and ErbB ligands (amphiregulin and epiregulin), are critical to tumor responsiveness to *EGFR*-TKIs [12, 33]. A comprehensive approach considering the *EGFR* status, including polymorphisms, as well as the status of ErbB family numbers will be essential for determining predictors of gefitinib response in NSCLC.

Although it is not discussed in this article and no consensus has been established, the mutagen for the *EGFR* gene is another critical issue requiring investigation. The identification of this mutagen will be important not only for understanding the mechanism of carcinogenesis, but also for establishing strategies to prevent *EGFR*-related lung cancer. To date, the only information we have is that tobacco smoking is not related to *EGFR* mutagenesis. Because the rate of adenocarcinoma in never smokers has been increasing worldwide [18, 30], the identification of a causative factor for *EGFR* mutation may reduce lung cancer mortality. Further studies, including an epidemiological approach, are required.

EGFR will be a key factor in the next stage of treatment and in furthering our understanding of lung carcinogenesis. Further knowledge of *EGFR* and its related members will be essential to the development of preventive and therapeutic strategies against lung cancer.

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